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10/501,162	04/26/2005	Marie Bosnes	IVGN 819	7614
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EXAMINER				
BHAT, NARAYAN KAMESHWAR				
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/501,162

**Applicant(s)**

BOSNES, MARIE

**Examiner**

NARAYAN K. BHAT

**Art Unit**

1634

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 07 November 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-25 and 30-32 is/are pending in the application.
- 4a) Of the above claim(s) 30-32 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-8508)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Continued Examination under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on November 7, 2008 has been entered.

### ***Status of the Claims***

2. This action is in response to papers filed on November 7, 2008.
3. Claim 1 was amended. Claims 28 and 29 were cancelled.
4. The previous rejections under 35 USC § 102 (b) and 103 (a) not reiterated below have been withdrawn in view of claim amendments. Applicant's arguments filed on November 7, 2008 have been thoroughly reviewed and are addressed following the rejections.
5. Claims 1-25 and 30-32 are pending in this application.
6. Claims 30-32 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim in the reply filed on June 11, 2007.
7. Claims 1-25 are under prosecution.

***Amendments to the Claim***

8. Amendments to the claim 1 have been reviewed and entered.

***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 1- 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Laugham et al (USPN 6,120,985 issued Sep. 19, 2000) in view of Smith et al (USPN 6,310,199 issued Oct. 30, 2001).

Regarding claim 1, Laugham et al teaches a method of isolating nucleic acid and proteins from each other in a sample and the method includes providing a solid phase material in a cartridge (Fig. 1, cartridge # 10, solid phase material # 18, column 18, lines 30-34) and further teaches that cartridge includes multiple compartments containing different solid phase material (column 19, lines 27-31), thus teaching a plurality of solid supports.

Laugham et al also teaches the solid phase material comprises anion exchange resins to which nucleic acids bind tightly (column 9, lines 55-64), thus encompassing nucleic acid components bind to the solid support in a sequence independent manner. Laugham et al further teaches that the positively charged proteins are captured by the

solid phase comprising cation exchange resin (column 19, lines 66-67, column 20, lines 1-3). Laugharn et al further teaches that proteins bound to the solid phase are eluted at lower pressure than the nucleic acids which bind more tightly to the solid phase, thus teaching that protein components contained in the sample binds to a solid support having a surface capable of effecting chromatographic interaction (column 9, lines 65-67 and column 10, lines 1-13).

Laugharn et al also teaches that the method for isolating nucleic acid and protein from each other comprises magnetic based separation (column 16, lines 51-58), but is silent about magnetic particles.

Teachings of Laugharn et al that the nucleic acid binds solid phase comprising anion exchange resin and proteins bind to cation exchange resin encompasses nucleic acid components and protein components are bound to distinct solid supports as claimed.

Regarding claim 2, Laugharn et al teaches an embodiment wherein differential pressure is applied to elute RNA and then chromosomal DNA from the solid support (column 3, lines 42-65), thus teaching DNA and RNA were bound to the same solid support prior to elution.

Regarding claims 3 and 4, Laugharn et al teaches an embodiment wherein DNA is bound to an electrode, i.e., solid support (Fig. 3, # 170) and RNA is bound to another electrode, i.e., solid support (Fig. 3, # 140, column 21, lines 31-57), thus teaching solid supports are distinct.

Regarding claim 5, Laugharn et al teaches that the DNA, RNA and protein are isolated from the same sample (Example 13, column 32 lines 21-67, column 33, lines 1-16).

Regarding claim 6, Laugharn et al teaches that the RNA is mRNA (Example 5, column 27, line 56).

Regarding claim 7, Laugharn et al teaches that the DNA is genomic (Example 11, column 30, line 59).

Regarding claim 8, Laugharn et al teaches that the total RNA (Example 4, column 26, line 62) and/or the total DNA is isolated (Example 13, column 32 lines 21-67, column 33, lines 1-16).

Regarding claim 9, Laugharn et al teaches that the total nucleic acid component is isolated (column 9, lines 55-63).

Regarding claim 10, Laugharn et al teaches that the total protein component is isolated (column 9, lines 55-57).

Regarding claim 11, Laugharn et al teaches that the sample is a tumor biopsy sample, plant and biological fluids (column 3, lines 42-46), which is a clinical or biological or environmental sample.

Regarding claim 12, Laugharn et al teaches that prior to contacting said sample with said solid supports, the sample is subjected to a cell lysis with elevated pressure and releasing the cell contents in to buffer solution that is, a preliminary treatment step to free the nucleic acid and/or protein components from structures or entities in which they may be contained (column 5, lines 30-54).

Regarding claims 13 and 14, Laugharn et al teaches the isolation of leukocytes from blood, i.e., particular cell populations (Example 7, column 29, lines 2-12), thus teaching prior to contacting solid supports, the sample is subjected to a cell isolation procedure for isolating specific cell type.

Regarding claim 15, Laugharn et al teaches that the cells are lysed with GITC containing buffer (Example 7, column 29, lines 10-15), thus teaching sample is subjected to a cell lysis step prior to contacting said sample with said solid supports.

Regarding claim 16, Laugharn et al teaches an assay that includes isolation of blood cells and treatment of blood cells in vitro with red blood cell lysis solution to lyse the red blood cells and to obtain leukocyte cells (Example 7, column 29, lines 2-10). The manipulation of leukocyte cells in vitro by red blood cell lysis solution is reasonably interpreted to encompass isolated cells from the sample are subjected to an in vitro modification procedure prior to the cell lysis step.

Regarding claim 17, Laugharn et al teaches that the same cell lysate is used for nucleic acid and protein isolation thus teaching that the sample is not divided at any stage of the method (Example 13, column 32, lines 21-67, column 33, lines 1-16).

Regarding claim 18, Laugharn et al teaches an embodiment wherein different aliquots of the cells are used to isolate nucleic acids using either high pressure or detergent to lyse the cells (Example 16, column 33, lines 57-67, column 34, lines 1-49), thus teaching the sample is divided after cell isolation.

Regarding claim 19, Laugharn et al teaches that the sample is lysed and cellular contents are contacted with the probe on the solid supports simultaneously (pg. 16, paragraph 5).

Regarding claim 20, Laugharn et al teaches the sequential elution of protein component from the nucleic acid component at 10,000 psi pressure (Example 13, column 32, lines 21-35 and 59-67, column 33, lines 1-8, first step of the claim), which is reasonably interpreted as isolating proteins. Laugharn et al also teaches in a second step isolating the RNA at 23,000 psi and in a third step isolating the DNA at 45,000 psi (Example 13, column 32, lines 21-67, column 33, lines 1-16), which meet the limitation of claim, because steps may be performed in any order.

Regarding claim 21, Laugharn teaches that support comprise ion exchange resins having a variety of groups on the surface but is silent about carboxyl groups (column 2, lines 61-67 and column 3, lines 1-4).

Regarding claim 22, Laugharn et al teaches that the DNA is isolated on a support using cells lysed with detergent (Example 11, column 30, lines 59-67).

Regarding claim 23, Laugharn et al teaches different embodiments, wherein cell lysis and nucleic acid binding to a solid support occur simultaneously (Example 7, column 28, lines 59-67) or concomitantly (Example 13).

Regarding claims 24 and 25, Laugharn et al teaches that the RNA is isolated using oligo-dT column (column 10, lines 24-25) or oligo-dT attached to an electrode (Fig. 3, # 140, column 21, lines 31-34), which is capable of binding to mRNA (Example 5).



Regarding claim 1, as described above, Laugharn et al teaches that the method for isolating nucleic acid and protein from each other comprises magnetic based separation (column 16, lines 51-58), but is silent about solid support comprising magnetic particles. However magnetic particles for nucleic acid isolation were known in the art at the time of the claimed invention was made as taught by Smith et al who teach magnetic particles for nucleic acids and protein isolation (column 3, lines 20-23, column 11, lines 38-45). Smith et al also teaches magnetic particles comprising either anion exchange or cation exchange ligands (column 13, lines 33-34), thus teaching distinct solid supports in the form of magnetic particles. Laugharn et al teaches that solid support comprising cation exchange ligands capture positively charged proteins (column 19, lines 66-67, column 20, lines 1-2) and anion exchange ligands captures nucleic acids (column 9, lines 59-63). Thus combined teachings of Laugharn et al and Smith et al provide distinct solid supports in the form of magnetic particles for isolating nucleic acids and proteins as claimed.

Smith et al also teaches magnetic particle based isolation is quick to use and do not require use of corrosive and hazardous chemicals (column 4, lines 24-27).

It would have been prima facie obvious to one having the ordinary skill in the art at the time the claimed invention was made to modify the solid support in the nucleic acid and protein purification method of Laugharn et al with the magnetic particles of Smith et al with a reasonable expectation of success.

An artisan would have been motivated to modify the solid support in the nucleic acid and protein purification method of Laugharn et al with the expected benefit of

using magnetic particle based isolation method, which is quick to use and not requiring use of corrosive and hazardous chemicals as taught by Smith et al (column 4, lines 24-27).

Regarding claim 21, as described above, Laugharn teaches that the support comprise ion exchange resins having a variety of groups on the surface (column 2, lines 61-67 and column 3, lines 1-4), but is silent about carboxyl groups. However, solid support comprising carboxyl groups on their surface was known in the art at the time of the claimed invention was made as taught by Smith et al, who teaches a pH dependent ion exchange matrix covalently coupled to a solid support comprising surface carboxyl group (column 12, lines 22-23). Smith et al also teaches that the pH dependent ion exchange matrix comprising surface carboxyl functional group binds to the nucleic acids at a lower pH and nucleic acids are eluted at neutral pH (Abstract, column 13, lines 18-32). Smith et al also teaches that the nucleic acids isolation using pH dependent ion exchange matrix requires very few steps, without the use of hazardous chemicals, little or no salt for elution and the nucleic acids are used immediately without further extraction or isolation (Abstract).

It would have been prima facie obvious to one having the ordinary skill in the art at the time the invention was made to modify the solid support in the nucleic acid and protein purification method of Laugharn et al with the carboxylated solid support of Smith et al with a reasonable expectation of success.

An artisan would have been motivated to modify the solid support in the nucleic acid and protein purification method of Laugharn et al with the expected benefit of using

pH dependent ion exchange matrix comprising surface carboxyl groups for nucleic acid isolation requiring nucleic acids isolation using pH dependent ion exchange matrix requires very few steps, without the use of hazardous chemicals, little or no salt for elution and availability of nucleic acids for immediate use without further extraction or isolation as taught by Smith et al (Abstract), thus able to use pH gradients in addition to pressure gradients in the nucleic acid isolation method of Laugharn et al.

***Response to remarks from the Applicants***

***Claim Rejections under 35 U.S.C. § 102(b)***

11. Applicant's arguments with respect to claims 1-20 and 22-25 filed on November 7, 2008 have been considered but are moot in view of the withdrawal of the rejection and new grounds of rejection set forth in this office action (Remarks, pgs. 6-7). Applicants arguments regarding teachings of Laugharn et al as it pertains to the rejections made in this office action are addressed in the next section.

***Claim Rejections under 35 U.S.C. § 103(a)***

12. Applicant's arguments filed November 7, 2008 have been fully considered and are not persuasive for the following reasons.

Applicants argue that Laugharn et al is silent regarding method of isolating nucleic acids in a sequence independent manner using magnetic particles and magnetic aggregation (pg. 7, paragraph 1). This argument is not persuasive because claims have

been rejected over Laugharn et al in view of Smith et al and Smith et al teaches solid support are in the form of magnetic particles.

As described in this office action in section 10 and as asserted by the Applicant (pg. 6, last paragraph), Laugharn et al teaches nucleic acid and protein isolation using solid phase comprising DEAE functional group to isolate RNA and different sizes of DNA (Example 13) thus teaching isolation of nucleic acids in a sequence independent manner. Contrary to the alleged assertion that Laugharn et al does not disclose the use of magnetic particles, Laugharn et al are very much interested in magnetic based separation method to separate proteins and nucleic acids (column 16, lines 51-58) but is silent about magnetic particles. Smith et al teaches magnetic particles and provides motivation to combine with the method of Laugharn et al for the expected benefit of isolating nucleic acids without the use of hazardous corrosive reagents (Smith et al, column 4, lines 24-27).

Furthermore, in response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies i.e., magnetic aggregation, are not recited in the rejected claims. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). In the instant case, Smith et al teaches interaction of magnetic particles with sample component and further teaches collecting magnetic particles using magnetic separation stand (Example 7, step 2 and column 11, lines 38-50 and column 24, lines 9-14), which is described as magnetic aggregation in the

instant specification (Instant specification, USPGPUB, paragraph 0142). Since Laugharn et al in view of Smith et al teaches nucleic acid isolation using magnetic particles, arguments are not persuasive.

Applicants further argue that Laugharn et al fails to teach isolating proteins using only chromatographic interactions onto magnetic particles followed by particle isolation using magnetic aggregation (Remarks, pg. 7, paragraph 1, and lines 3-6). This argument is not persuasive because claim requires "protein components contained in the sample become bound to the solid supports having a surface capable of effecting a chromatographic interaction" rather than "only chromatographic interactions onto magnetic particles followed by particle isolation using magnetic aggregation" as allegedly asserted by the Applicant. However, Laugharn teaches solid support comprising DEAE ion exchange resin to isolate proteins (Example 13, column 32, lines 25-35) and is the preferred chromatographic interactions as described in the instant specification (instant specification, USPGPUB paragraph 0056). Since Laugharn in view of Smith et al teaches magnetic particles with ion exchange ligands (Smith et al, column 12, lines 18-20) for nucleic acid protein isolation, arguments are not persuasive.

Applicant's argument with regard to teachings of Laugharn et al and Olsvick et al are moot in view of withdrawal of the rejection. 7, paragraph 4).

Applicants further argue that Laugharn et al does not disclose the use of magnetic particles and cryobaric apparatus is not suitable for use with magnetic bead based separation method of Smith et al (pg. 8, paragraph 1). This argument is not persuasive, because as described in this office action in section 10, Laugharn et al is

very much interested in "magnetic based separation" method (column 16, lines 56-57) but are silent about solid support comprising magnetic particles. Smith et al teaches magnetic particles having ion exchange resins on the surface for nucleic acid and protein isolation (column 11, lines 38-45, column 12 lines 32-36) and provides motivation to combine with the method of Laugham et al. Furthermore, open claim language "comprising" does not preclude using cryobaric apparatus. Since Laugham et al in view of Smith et al teaches the recited method steps, arguments are not persuasive.

### ***Conclusion***

13. No claims are allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Narayan K. Bhat whose telephone number is (571)-272-5540. The examiner can normally be reached on 8.30 am to 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram R. Shukla can be reached on (571)-272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.

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/Narayan K. Bhat/

Examiner, Art Unit 1634

/Ram R. Shukla/

Supervisory Patent Examiner, Art Unit 1634